

Little Rock Trade and Investment Ltd.

Admin. Office: 9/14, (First Floor) East Patel Nagar, New Delhi - 110008

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Ph: +911125769111 (Multiple Lines)

CIN: L67120WB1981PLC033628

The Secretary
Kolkata Stock Exchange Ltd
7- Lyons Range
Kolkata - 700001

October 12, 2015

Ref Company No = 22055

Dear Sir,

Sub: **Submission of Corporate Governance Report under clause 49 of Listing Agreement for Quarter ended on September 30, 2015**

Pursuant to Clause 49 of Listing Agreement, please find enclosed copy of the Corporate Governance Report for the Quarter ended on September 30, 2015,

Kindly acknowledge receipt.

Thanking You

Yours faithfully

For Little Rock Trade and Investment Ltd.

Deepa
(Deepa Nanda)

Director

Encl: Corporate Governance Report for Quarter ended on September 30, 2015.

SP PATFL NAGAR EAST (110008)

ED651814500IN

Counter No:1, OP-Code:DKY

To:KSE KTD,

KOLKATA, PIN:700001

From:GEMS COMMERCIAL, NO 8

Wt:35grams,

Ant:40.00, 12/10/2015, 13:55

Taxes:Rs.5.00<<Track on www.indiapost.gov.in>>

भारतीय डाक



India Post

Registered Office: 21F, Deodhar Street, Kolkata - 700019

Annexure - XI to the Listing Agreement

Format of Quarterly Compliance Report on Corporate Governance

Name of the Company: Little Rock Trade & Investment Ltd

Quarter ending on: 30th September, 2015

Particulars	Clause of Listing agreement	Compliance Status Yes/No	Remarks
II. Board of Directors	49(II)		
(A) Composition of Board	49(IIA)	Yes	
(B) Independent Directors	49(IIB)	Yes	
(C) Non-executive Directors' compensation & disclosures	49 (IIC)	Yes	
(D) Other provisions as to Board and Committees	49 (IID)	Yes	
(E) Code of Conduct	49(IIE)	Yes	
(F) Whistle Blower Policy	49(IIF)	Yes	
III. Audit Committee	49 (III)		
(A) Qualified & Independent Audit committee	49(IIIA)	Yes	
(B) Meeting of Audit Committee	49(IIIB)	Yes	
(C) Powers of Audit Committee	49 (IIIC)	Yes	
(D) Role of Audit Committee	49 (IIID)	Yes	
(E) Review of Information by Audit Committee	49(IIIE)	Yes	
IV. Nomination and Remuneration Committee	49(IV)	Yes	
V. Subsidiary Companies	49 (V)	NA	
VI. Risk Management	49(VI)	Yes	
VII. Related Party Transactions	49(VII)	Yes	
VIII. Disclosures	49(VIII)		
(A) Related party transactions	49(VIIIA)	Yes	No material transactions with related parties
(B) Disclosure of Accounting Treatment	49(VIIIB)	NA	
(C) Remuneration of Directors	49 (VIIC)	Yes	
(D) Management	49 (VIID)	Yes	complied in 34 th Annual Report

(E) Shareholders	49 (VIII E)	No	Complied in Notice of 34 th AGM
(F) Disclosure of resignation of directors	49 (VIII F)	NA	
(G) Disclosure of formal letter of appointment	49 (VIII G)	NA	
(H) Disclosures in the Annual report	49 (VIII H)	Yes	complied in 34 th Annual Report
(I) Proceeds from public issues, rights issue, preferential issues, etc	49 (VIII I)	NA	
IX. CEO/CFO Certification	49 (IX)	Yes	complied in 34 th Annual Report
X. Report on Corporate Governance	49 (X)	Yes	complied in 34 th Annual Report
XI. Compliance	49 (XI)	Yes	

For Little Rock Trade & Investment Ltd

Deepa
 (Deepa Nanda)
 Director